

# Internal Appeals Procedure (Internal assessment decisions)

Policy/Procedure creator: Jackie Morris

Policy/Procedure created/reviewed: 22/11/2022

Centre Name	Saint John Houghton Catholic Voluntary Academy
Centre Number	23234
Date procedure first created	<ul style="list-style-type: none"><li>• 09/02/2021</li><li>• Updated 04/10/2021</li><li>• Updated 22/11/2022</li></ul>
Current procedure reviewed by	• SLT
Current procedure approved by	• Head of Exams
Date of next review	• October/November 2023

## Key staff involved in the procedure

Role	Name(s)
Head of centre	Steve Brogan
Senior leader(s)	Steve Brogan - Head Teacher, Christina Hartharn - Deputy Head Teacher and Head of Exams, Dave Warden - Assistant Head Teacher, Kim McDermott - Assistant Head Teacher, Kerry Boddice - Assistant Head Teacher
Exams officer	Jackie Morris
Other staff (if applicable)	Curriculum Leaders

This procedure is reviewed and updated annually to ensure that appeals against internal assessment decisions (centre assessed marks) at Saint John Houghton Catholic Voluntary Academy are managed in accordance with current requirements and regulations in the JCQ publications **General Regulations for Approved Centres** (GR 5.7), **Instructions for conducting non-examination assessments** (ICNEA 6.1). This procedure is informed by the JCQ publications **Reviews of marking (centre assessed marks) suggested template for centres** and **Notice to Centres - Informing candidates of their centre assessed marks**.

## Introduction

Certain qualifications contain components of non-examination assessment (or units of coursework) which are internally assessed (marked) by Saint John Houghton Catholic Voluntary Academy and internally reviewed/standardised. The marks awarded (the internal assessment decisions) which contribute to the final grade of the qualification are then submitted by the deadline set by the awarding body for external moderation.

The moderation process carried out by the awarding body may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is in line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional.

The qualifications delivered at Saint John Houghton Catholic Voluntary Academy containing components of non-examination assessment/units of coursework are:

- GCSE
- AQA
- OCR
- WJEC
- OCR Cambridge Nationals
- AQA Functional Skills

## Purpose of the procedure

The purpose of this procedure is to confirm the arrangements at Saint John Houghton Catholic Voluntary Academy for dealing with candidate appeals relating to internal assessment decisions.

This procedure ensures compliance with JCQ regulations which state that centres must:

- have in place and be available for inspection purposes, a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates
- before submitting marks to the awarding body inform candidates of their centre assessed marks and allow a candidate to request a review of the centre's marking

## Principles relating to centre assessed marks

The head of centre/senior leader(s) at Saint John Houghton Catholic Voluntary Academy will ensure that the following principles are in place in relation to marking the work of candidates:

- A commitment to ensuring that whenever teaching staff mark candidates' work, that this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents
- All centre staff follow a robust Non-examination Assessment Policy (for the management of non-examination assessments). This policy details all procedures relating to non-examination assessments for relevant qualifications delivered in the centre, including the marking and quality assurance/internal standardisation processes which relevant teaching staff are required to follow
- Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, and who have been trained in this activity
- A commitment to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where more than one subject teacher/tutor is involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking
- On being informed of their centre assessed mark(s), if a candidate believes that the above procedures were not followed in relation to the marking of their work, or that the assessor has not properly applied the marking standards to their marking, then they may make use of the internal appeals procedure below to consider whether to request a review of the centre's marking

Additional centre-specific principles:

- Not applicable

## Procedure for appealing internal assessment decisions (centre assessed marks)

The head of centre/senior leader(s) at Saint John Houghton Catholic Voluntary Academy will:

- Ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body
- Inform candidates that they will need to explain on what grounds they wish to request a review of an internally assessed mark as a review will only focus on the quality of their work in meeting the published assessment criteria
- Inform candidates that they may request copies of materials (generally as a minimum, a copy of the marked assessment material (work) and the mark scheme or assessment criteria plus additional materials which may vary from subject to subject) to assist them in considering whether to request a review of the centre's marking of the assessment
- Having received a request for copies of materials, promptly make them available to the candidate (for some marked assessment materials, such as art work and recordings, inform the candidate that the originals will be shared under supervised conditions) within the period of time as specified (see **Deadlines** below)
- Provide candidates with sufficient time in order to allow them to review copies of materials and reach a decision, informing candidates that if their decision is to request a review they will need to explain what they believe the issue to be
- Provide a clear deadline for candidates to submit a request for a review of the centre's marking and confirm understanding that requests must be made in writing and will not be accepted after this deadline (see **Deadlines** below)

Require candidates to make requests for a review of centre marking by

- Ensure that candidates are informed of their centre assessed marks so that they may

request a review of the centre's marking before marks are submitted to the awarding body.

- Inform candidates that they may request copies of materials (for example, a copy of their

marked work, the relevant specification, the mark scheme and any other associated subject-specific documents) to assist them in considering whether to request a review of the centre's marking of the assessment.

- Having received a request for copies of materials, promptly make them available to the

candidate within [2 school days].

- Provide candidates with sufficient time in order to allow them to review copies of

materials and reach a decision.

- Provide a clear deadline for candidates to submit a request for a review of the centre's

marking.

Requests will not be accepted after this deadline. Requests must be made in writing within [2 school days] of receiving copies of the requested materials by completing the internal appeals form.

- Allow [4 school days days] for the review to be carried out, to make any necessary

changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline.

- Ensure that the review of marking is carried out by an assessor who has appropriate

competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review.

- Instruct the reviewer to ensure that the candidate's mark is consistent with the standard

set by the centre.

- Inform the candidate in writing of the outcome of the review of the centre's marking.

- The outcome of the review of the centre's marking will be made known to the head of

centre. A written record of the review will be kept and made available to the awarding body upon request.

- The moderation process carried out by the awarding bodies may result in a mark change, either upwards or downwards, even after an internal review.
  - The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is in line with national standards.
  - The mark submitted to the awarding body is subject to change and should therefore be considered provisional.
- 
- Allow sufficient time for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline for the submission of marks (see **Deadlines** below)
  - Ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate for the component in question and has no personal interest in the outcome of the review
  - Instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre
  - Inform the candidate in writing of the outcome of the review of the centre's marking
  - Ensure the outcome of the review of the centre's marking is made known to the head of centre who will have the final decision if there is any disagreement on the mark to be submitted to the awarding body
  - Ensure a written record of the review is kept and made available to the awarding body upon request
  - Ensure the awarding body is informed if the centre does not accept the outcome of a review

Additional centre-specific procedure:

- See above

## Deadlines and timescales

- Upon request, copies of materials will be made available to the candidate within
- Having received a request for copies of materials, promptly make them available to the candidate within [2 school days].

- The deadline to request a review of marking must be made within
- Provide a clear deadline for candidates to submit a request for a review of the centre's marking.

- Requests will not be accepted after this deadline. Requests must be made in writing within [2 school days] of receiving copies of the requested materials by completing the internal appeals form.  
of the candidate receiving copies of the requested materials

- The process for completing the review, making any changes to marks, and informing the candidate of the outcome will be completed within
- Allow [4 school days] for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline.  
, all before the awarding body's deadline for the submission of mark

## CHANGES 2022/2023

(Changed) Under **Procedure for appealing internal assessment decisions:**

...inform the candidate that these will be shared under supervised conditions) within the specified time period (To) ...inform the candidate that **the originals** will be shared under supervised conditions) within the specified time period (see Deadlines below)

Where a number of subject teachers are involved in marking candidates' work... (To) Where **more than one subject teacher/tutor is involved** in marking candidates' work...

Ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review (To) Ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate **for the component in question** and has no personal interest in the **outcome of the** review

## CENTRE-SPECIFIC CHANGES

- Upon review in September 2022, no centre-specific updates or changes were applicable to this document